

# Forming Connected Topologies in Bluetooth Adhoc Networks

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**Abstract—** This paper explores the problem of forming connected topologies, in adhoc networks built on the Bluetooth technology. Providing connectivity, when feasible, is the most basic requirement for any system aimed at allowing devices to communicate with each other. In this paper, we illustrate that this seemingly innocuous goal gives rise to many significant challenges in the context of the Bluetooth technology. The paper first provides a brief overview of Bluetooth and its operation, and then identifies some of the major problems the technology faces when it is used to build adhoc networks. The paper’s contributions are in identifying some basic algorithmic problems when building connected Bluetooth networks, and in developing and evaluating several possible solutions capable of generating “good” connected topologies.

## I. INTRODUCTION

Bluetooth is a recently proposed standard for short range, low power wireless communication [15]. Initially, it is being envisioned simply as a wire replacement technology. Its most commonly described application is that of a “cordless computer” consisting of several devices including a personal computer, possibly a laptop, keyboard, mouse, joystick, printer, scanner, *etc.*, each equipped with a Bluetooth card. There are no cable connections between these devices, and Bluetooth is to enable seamless communication between all them, essentially replacing what is today achieved through a combination of serial and parallel cables, and infrared links. However, Bluetooth has the potential for being much more than a wire replacement technology, and the Bluetooth standard was indeed drafted with such a more ambitious goal in mind. Bluetooth holds the promise of becoming the technology of choice for adhoc networks of the future. This is in part because its low power consumption and potential low cost make it an attractive solution for the typical mobile devices used in adhoc networks.

This being said, there are multiple major technical hurdles to cross before Bluetooth can fulfill its potential of becoming more than a wire replacement solution. The most basic challenge that the technology faces, and the one that is the focus of this paper, is how to organize nodes into an operational network, while satisfying the

many constraints introduced by Bluetooth. There are obviously multiple possible interpretations of what operational means, and in this paper we concern ourselves primarily with connectivity. In other words, our goal is to understand when and how Bluetooth networks can be built that allow communication between all pairs of nodes. This is clearly one if not the most basic functionality one expects from a network, but as we shall see, providing it in Bluetooth networks gives rises to numerous challenges. The reasons for those difficulties are at many levels. Although Bluetooth nodes are assumed functionally equivalent, communications proceed according to a “master-slave” model, with additional constraints on the number of slaves that a master can support. This introduces a degree constraint on the graph representing connections between masters and slaves. As a result, the selection of which nodes become master or slaves and of how slaves are assigned to masters during the topology formation phase, can have a significant impact on the final connectivity.

Similarly, the synchronization process that allows Bluetooth nodes to discover their neighbors influences the order in which topology formation decisions are made, and therefore also affects the resulting connectivity. This latter aspect was partially investigated in [18], [8], [20], [13], and in this paper we concentrate mainly on the former issues, namely, how the basic communication model and constraints of Bluetooth affect its ability to form connected topologies.

The paper’s contributions are primarily in investigating the problem from an algorithmic perspective. We view this as an important first step towards gaining a sound understanding of fundamental issues, before embarking into lower-level protocol design and implementation. Taking those next steps is nevertheless ultimately important, and is something we have recently started, as we are now proceeding with a more detailed design, embedded in an actual Bluetooth stack, of several of the candidate algorithms investigated in this paper. We hope to be able to report results based on this implementation effort in the near future.

Our initial investigation was rife with surprises and, for example, revealed that the seemingly innocuous problem

of deciding whether there exists at least one connected topology that is consistent with the degree constraint of the Bluetooth technology is actually NP-hard in the most general case. However, when node locations are restricted to a two-dimensional plane, we obtain, under certain simplifying assumptions, a polynomial complexity topology formation algorithm that attains end-to-end connectivity whenever it is possible to do so. For the more realistic case of three-dimensional networks and assuming more complex node configurations, we propose other algorithms which attain end to end connectivity most of the times, and demonstrate their efficacy by empirical studies. We also propose an algorithm which affords greater control over the topology than mere connectivity. More specifically, this algorithm has provisions for tuning the degrees of masters and slaves differentially. Finally, we investigate an algorithm (suggested by Murali Kodialam) that is both distributed and better suited to a dynamically changing topology.

The rest of the paper is organized as follows. We review briefly the salient features of the Bluetooth technology in Section III, and describe technical challenges that arise when using the Bluetooth technology in adhoc networks, with a focus on topology formation issues. Section IV is a brief summary of recent research on the topic. Section V is devoted to our problem formulation and to showing that the problem of attaining end to end connectivity is NP-hard in the general case. In Section VI, we present a polynomial complexity topology formation algorithm that, under some simplifying assumptions, attains a connected topology whenever one such exists. In sections VII and VIII we address the cases when these assumptions do not hold. We present certain heuristic approaches for these cases and evaluate their performance via simulation. Finally, in section IX we investigate a fully distributed algorithm that can also operate in a dynamic fashion. Its performance is again evaluated via extensive simulations.

## II. A BRIEF OVERVIEW OF BLUETOOTH

In this section, we briefly describe the basic features of a Bluetooth network. Bluetooth nodes are organized in small groups called *piconets*. Every piconet has a leading node called “master,” and other nodes in a piconet are referred to as “slaves.” A node may belong to multiple piconets, and we refer to such a node as a “bridge.” A piconet can have at most 8 members. Refer to figure 1 for a sample organization. Every communication in a piconet involves the master, so that slaves do not directly communicate with each other but instead rely on the master as a transit node. The master decides the communication order (and duration) for the slaves. In other words, Bluetooth provides a half-duplex communication channel, and

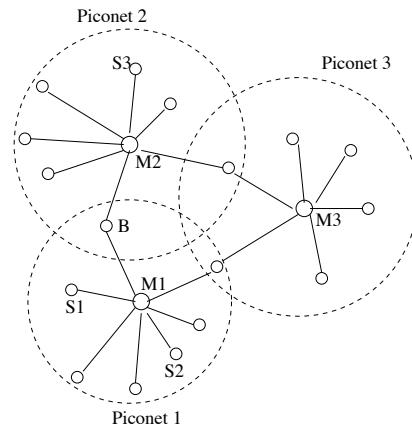


Fig. 1. An example of a Bluetooth topology is illustrated. The nodes are organized into 3 piconets. The masters of these piconets are  $M_1, M_2, M_3$  respectively. The remaining nodes are the slave or bridge nodes. Slave nodes  $S_1$  and  $S_2$  can communicate via master  $M_1$ . Nodes  $S_1$  and  $S_3$  can communicate via master  $M_1$ , bridge  $B$  and master  $M_2$ .

its usage is solely under the responsibility of the master. Communication between nodes in different piconets relies on bridge nodes. A bridge node cannot be simultaneously active in multiple piconets. It is active in one piconet and “parked” in others. Bluetooth allows different activity states for the nodes: active, idle, parked, sniffing. Data exchange takes place between two nodes only when both are active. Activity states of nodes change periodically.

Bluetooth uses frequency hopping spread spectrum in the physical layer. Different piconets use different frequency hopping sequences. The frequency hopping sequence of a piconet is derived from the node ID and the clock information of the master. A node thus needs to know the identities and the clock information of the masters of all the piconets it participates in. It acquires this information from the master when it joins the piconet. Synchronization information is also exchanged periodically. The bandwidth of the Bluetooth communication channel is currently 1 Mbps. Nodes in different piconets can transmit simultaneously even if they are within transmission range of each other. This is because they use different frequency hopping patterns. However, there can be only one communication at a time within a piconet and this communication involves the master and one slave.

Besides the operation and constraints associated with the Bluetooth communication channel, another key aspect affecting the formation of Bluetooth topologies is, as mentioned earlier, the node discovery process. It involves a well-known channel or frequency hopping pattern, that is used by nodes to periodically transmit their identity and listen for other nodes (see [15] for details). The main impact of this process is through its influence on when nodes discover each other and, therefore, proceed to form or join

piconets. This was investigated in [8], and in this paper we instead concentrate on how the basic characteristics of the Bluetooth communication channel impacts topology formation.

### III. CHALLENGES AND OBJECTIVES IN BLUETOOTH TOPOLOGY FORMATION

As mentioned earlier, communications within a Bluetooth piconet follow a master-slave model, while communications across piconets rely on the presence of nodes belonging to multiple piconets. The technology is flexible in that except for limiting the number of slaves in a piconet to 7, no other constraints exist regarding the assignment of roles to nodes. This flexibility, however, raises a number of questions, and we briefly list below those that are most relevant to topology formation:

- 1) How should nodes select their role (master or slave)?
- 2) Which piconet(s) should a (slave) node join?
- 3) How many slaves should a master accept (below the specified maximum of seven)?
- 4) How many piconets should a bridge node belong to?
- 5) Should masters be allowed to be bridge nodes (slaves in other piconets)?

All the above questions are complex and important in their own right, and in the context of maximizing the likelihood of producing a connected topology, their answers should preferably avoid introducing additional constraints.

Specifically, consider questions 4 and 5. Because a bridge node can only be active in one piconet at the time, the greater the number of piconets to which a node belongs, the poorer the connectivity it can provide between them. This impact is compounded when the bridge node is a master in one piconet. This is because periods during which the node acts as a slave in some piconet, correspond to a complete communication blackout for all the slaves of the piconet for which it serves as a master. Because of this, it is desirable for a master not to be a slave in other piconets, provided that such a slave/master role limitation does not introduce significant constraints when forming and modifying topologies. Note that this requirement was also considered in [18]. Similarly, for question number 4, we also assume that it is desirable for a bridge to be involved in as small a number of piconets as possible, while preserving connectivity. This is a criterion that will be incorporated in some of the algorithms we present in the paper. In the rest of this section, we briefly expand on what achieving those objectives means for our topology formation algorithms.

The primary focus of the algorithms we develop will then be to generate connected topologies, when feasible, while satisfying the degree constraint to which masters are

subject, *i.e.*, a degree of 7 at most. In that context, the algorithms will aim at answering questions 1 and 2, while assuming that the answer to question 3 is simply based on the upper bound that the Bluetooth specification imposes. In the rest of this section, we briefly expand on what achieving those objectives means for our topology formation algorithms.

Generating a connected topology is a reasonably clear objective, but there are many cases where it may not be a feasible objective. For example, if there are two groups of nodes such that no node in one group is in the transmission range of any node in the other group, then the topology will be disconnected irrespective of which algorithm one uses. It is, therefore, also important to articulate the algorithm's goals in such cases. If global connectivity is not feasible then the logical topology will consist of "islands" of nodes such that each island includes nodes that are within transmission range of each other, but nodes in different islands can not communicate. In this case, the objective is to minimize the number of islands in the final topology.

Next, let us examine the implications of our objective of maximizing connectivity within the Bluetooth design constraints. Observe first that any Bluetooth topology must satisfy some basic properties. For one, the partitioning of nodes into masters and slaves implies that it is desirable, although not necessary, that the graph associated with any Bluetooth topology be a bi-partite graph. Similarly, as mentioned before, the constraint that a piconet cannot contain more than 7 slaves implies that all nodes associated with masters must have a degree less than or equal to 7. This also implies that if at any time the total number of masters is less than one eighth of the total number of nodes, then certain nodes will not belong to any piconet and thus the topology remains disconnected. Both of these observations provide "hints" towards designing topology formation algorithms. In particular, focusing on algorithms that bound or minimize node degrees appears to be a promising direction, which we explore further in Sections VI and VII.

In addition, because adhoc networks are inherently distributed systems, with nodes making individual decisions, often based on local information, we expect that another important factor besides the choice of a role (master or slave) is *when* is that choice actually made, *i.e.*, the order in which nodes make such decisions. In particular, because connectivity between piconets is ensured through bridge nodes and not all (slave) nodes are capable of playing such a role (the node must be able to "hear" the master of each piconet), connectivity between two piconets may be precluded if the corresponding node attempts to join one of the piconets after the piconet has become full, *i.e.*, already has 7 slaves. This indicates that efficient algo-

rithms will most likely need to allow for some iterations that will let nodes modify their earlier decisions, *e.g.*, by having some slaves relinquish their membership in one piconet and move to another, or by allowing nodes to change their role altogether (change from slave to master or possibly vice-versa). Identifying when and how to allow such changes while preserving or improving connectivity or degree constraint is a challenging task, especially in the context of distributed decisions. The approach we will follow, is to first articulate a centralized algorithm capable of generating a good/optimal solution, and then describe how to transform this centralized algorithm into a distributed one. Techniques for converting centralized algorithms into distributed ones are commonly available for many standard algorithms, *e.g.*, shortest path or minimum spanning tree [6], and we will take advantage of this fact.

A second important aspect in the context of adhoc networks is to allow for dynamic operation. Dynamic operation has two major dimensions: the addition and deletion of nodes as users come and go, and the possible modifications of the topology (connectivity graph) induced by mobile nodes. Designing algorithms and protocols capable of efficient dynamic operation is an entire research area in itself, where meaningful solutions typically need to carefully account for operational constraints. The algorithms we present in the paper are clearly aimed at supporting dynamic operation, *e.g.*, by relying only on local information when attempting to optimize connectivity. However, there are many aspects of dynamic operation that we do not explore or consider, and formulating a complete solution for a dynamic environment is a topic of ongoing work. We believe that the results of this paper provide essential building blocks for developing such a solution.

#### IV. RELATED RESEARCH

In this section, we mention very briefly a number of previous works that have also been motivated by the need to extend the standard Bluetooth specifications, if the technology is to be used for building adhoc networks. Those works span three major areas associated with adhoc networks: routing, resource management or scheduling, and topology formation. The latter being clearly the area of most relevance to this paper.

In [2] Bhagwat *et al.* present a source routing mechanism for Bluetooth scatternets, *i.e.*, networks formed from the interconnection of piconets. Das *et al.* [5] and Johanson *et al.* [12] present distributed scheduling policies for Bluetooth networks. The topology formation problem was first investigated in [18] by Salonidis *et al.* who presented a distributed topology construction scheme in Bluetooth networks. A basic assumption in the paper is that all nodes are within transmission range of each other,

*i.e.*, the underlying connectivity graph is a full mesh. Under this assumption, nodes conduct a leader election algorithm, whose winner knows the identity of all other nodes in the network and uses this information to design the desired topology. A likely limitation of this approach is that it may not scale as the number of nodes is large. This was one of the motivations for investigating alternative approaches in this paper. In addition, the assumption of full reachability will often not hold in many scenarios. The paper also makes a very interesting observation in that it shows that the average delay involved in synchronizing two nodes (the time spent in the inquiry and the page sequences before the nodes are able to exchange the clock information) is infinite if the nodes rely on a deterministic pattern of alternating between paging and paged modes. This has important implications when designing the synchronization procedure that Bluetooth nodes will use to discover their neighbors. In [1], Zaruba *et al.* present “Bluetrees,” a scatternet formation algorithm for cases where the full reachability assumption does not hold. However, the Bluetree algorithm reduces the degree of the nodes by a series of re-arrangements. There is no guarantee that these re-arrangements actually terminate, and thus the resulting topology may not satisfy the degree constraints. In [20], Tan *et al.* present “Tree Scatternet Formation,” an online algorithm to build scatternets. However, it is not clear how the degree constraints and connectivity are satisfied, since only the root nodes of each fragment are allowed to merge different fragments. An interesting part of the paper is the proposed model to evaluate the efficiency of a scatternet by approximating the average communication latency. In [13], Law and Siu also present a scatternet formation algorithm. The problem of topology formation was also the topic of [8], where we investigated the performance of a few simplistic topology formation algorithms from the standpoint of connectivity and convergence time. The investigation was primarily empirical, *i.e.*, relying on a detailed low-level simulation of the Bluetooth protocol, and showed that those simple-minded algorithms commonly resulted in disconnected topologies, when a connected one was actually available. This finding was another motivation for a more systematic investigation of the topology formation problem, and for identifying algorithms capable of better performance. Finally, in [14], Marsan *et al.* address the problem of determining an optimal Bluetooth topology, based on an integer linear programming formulation derived from the Bluetooth specific constraints. However, the complexity of the proposed algorithm is fairly high. Furthermore, their approach leads to a centralized optimization algorithm which raises the question of practical distributed implementation.

## V. NETWORK MODEL AND PROBLEM COMPLEXITY

As a first step towards a systematic investigation of the connectivity issue, we formulate a mathematical model for the system objectives and constraints. Observe that there can be two types of communication links between any two nodes. One is a *physical* (layer) link which exists between any pair of nodes that are in communication range of each other. The other is a *logical* Bluetooth link which exists if the Bluetooth topology establishes a communication link between the two nodes. This happens when one node is a master and the other is a slave in the same piconet. Clearly, a pre-condition for existence of a logical link between two nodes is the existence of a physical link between them. The scenario can be modelled by two graphs, a physical-topology graph and a logical-topology graph. Vertices in both graphs represent the nodes in the actual network. The logical topology graph is thus a sub-graph of the physical topology graph. The physical topology graph is given, while the logical topology graph is the output of the topology formation algorithm.

The logical topology graph must have certain properties. According to the Bluetooth specification, vertices that will be assigned the role of a master can have a maximum degree<sup>1</sup> of 7. For the vertices that will serve as slaves, it is desirable that their degree be kept as small as possible. Regular slave nodes have a degree of only 1 (the link to the master), but bridge nodes have a degree equal to the number of piconets they participate in. Because a bridge node with a degree of 7, *i.e.*, participating in 7 piconets, would represent a major bottleneck in the system (it would provide very poor connectivity between those piconets), we assume that the degree constraint of 7 applies to the bridge (slave) nodes as well. Also, although not necessarily required, it is desirable that it be a bipartite<sup>2</sup> graph since the vertex set can easily be partitioned in masters and slaves and the logical links connect masters to slaves and vice-versa.

Connectivity is then deemed feasible if there exists a connected<sup>3</sup> sub-graph of the physical topology graph which satisfies the degree constraint (maximum degree of 7). The objective is to first detect whether connectivity is feasible. If connectivity is feasible, then the aim is to construct a connected logical topology graph which satisfies the desired constraint. If connectivity is not feasible, then any logical topology graph will consist of “islands” or components<sup>4</sup>. In this case the objective is to minimize

<sup>1</sup>The degree of a vertex is the number of edges originating from the vertex.

<sup>2</sup>A bipartite graph is one where the vertex set can be partitioned in two sets such that there is no edge connecting the vertices in the same set.

<sup>3</sup>A graph is connected if there is a path between any two nodes.

<sup>4</sup>A component of a graph is a connected sub-graph which can not

the number of components in the logical topology graph.

Note that a connected logical subgraph exists if and only if the physical topology graph has a spanning tree<sup>5</sup>, which satisfies the degree constraints of a logical topology graph. This is because a spanning tree of any graph is connected. Also note that it is bipartite [10]. Thus, if a spanning tree of the physical topology graph satisfies all the constraints of a logical topology graph, then it forms a feasible instance of a logical topology. The converse is trivially seen to be true. The partition which has a maximum degree less than or equal to 7 will be chosen as the master set, while the other with a potentially lower maximum degree will constitute the slave/bridge set. We focus on constructing a spanning tree of the physical connectivity graph, which satisfies the degree constraints.

Let the degree of a spanning tree be the maximum degree of its vertices. The challenge is then to construct a spanning tree with degree less than or equal to 7, if one exists. A spanning tree with degree 7 or less exists if and only if the maximum degree of a spanning tree in a graph is upper bounded by 7, and deciding this is an NP-hard problem [7]. It follows that *deciding whether connectivity is feasible and constructing a connected logical topology graph which satisfies the desired constraints is an NP-hard problem for a general physical topology.*

The above result notwithstanding, polynomial time algorithms are available in certain practical scenarios, where additional constraints are imposed on the underlying network graph (Section VI). Furthermore, we show how those polynomial time algorithms can be extended to provide efficient heuristics in general scenarios (Sections VII and VIII). Many of these algorithms are centralized in nature, but the basic intuition behind these motivates a fully distributed and dynamic approximation (Section IX).

## VI. TOPOLOGY FORMATION ALGORITHMS FOR NODES WITH IDENTICAL POWER LEVELS IN A 2-DIMENSIONAL PLANE

In this section, we approach the connectivity problem under certain simplifying assumptions which we describe and justify next.

- 1) Nodes constitute points in a two-dimensional plane. This assumption is justified if the transceivers are at similar heights, and applies to several ground based civilian and military communication networks, while ruling out applications which involve air to ground communication.

be expanded any further while retaining connectivity, *i.e.*, addition of a node in a component removes the connectivity.

<sup>5</sup>A spanning tree is a connected subgraph which does not have a cycle and spans all vertices in the graph.

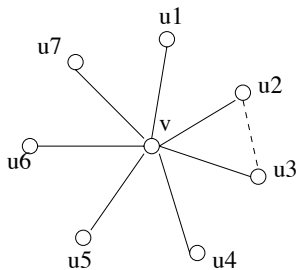


Fig. 2. We explain intuitively why in a complete graph with edge weights equaling the Euclidean distance between the corresponding vertices, the degree of a MST is not more than 6. Consider a complete graph with vertices  $v, u1, \dots, u7$ . Assume that vertex  $v$  in a MST has degree 7. Let its neighbors in the MST be  $\{u1, \dots, u7\}$ . Note that the Euclidean distance between pair  $(u2, u3)$  is less than the distance between  $(v, u2)$  or  $(v, u3)$ . Thus the MST will include the edge  $(u_i, u_{i+1})$  rather than  $(v, u2)$  or  $(v, u3)$ .

- 2) All nodes have the same transmission range. This happens if the propagation conditions are largely similar throughout the network and nodes have the same maximum transmission power limitation and similar reception capabilities. Let this transmission range be  $d$  units. Under this assumption, a physical link exists between any two nodes if and only if their Euclidean distance is upper bounded by  $d$ .

Under these assumptions, the time complexity of the connectivity problem becomes now polynomial. The following lemma provides the cornerstone for designing a simple polynomial complexity distributed dynamic algorithm which generates a connected logical topology whenever connectivity is feasible.

**Lemma 1:** Connectivity is feasible if and only if the physical topology graph is connected. A minimum weighted spanning tree (MST) in the physical topology graph, with the weight of an edge equaling the Euclidean distance between the nodes, is a connected logical topology graph which satisfies all the desired constraints.

We first present the following result obtained by Monma *et. al.* [16] which we will use in proving this lemma.

**Proposition 1:** Consider a complete<sup>6</sup> graph with nodes corresponding to points in the 2-dimensional plane and the weight of the edges being the Euclidean distance between them. Any minimum weighted spanning tree in such a graph has degree less than or equal to 6.

**Proof of Lemma 1:** See Appendix.

An intuition behind this result is provided in figure 2.

Next, we consider the case when connectivity is not feasible. This happens only when the physical topology graph is disconnected. The objective in this case is to construct a logical topology graph with the minimum number of components. The following lemma gives the basis for the procedure we follow.

**Lemma 2:** The sub-graph of the physical topology graph consisting of the minimum weighted spanning trees in each component of the physical topology graph is a logical topology graph with the minimum number of components.

**Proof of Lemma 2:** See Appendix.

It follows from the results in Lemmas 1 and 2 that constructing a minimum weighted spanning tree in the physical topology graph will provide a logical topology graph which (a) is connected if connectivity is feasible and (b) consists of minimum number of components if connectivity is not feasible. It is interesting to observe that a centralized minimum weighted spanning tree construction algorithm has a complexity of only  $O(E \log V)$  if the physical topology graph has  $E$  links and  $V$  nodes<sup>7</sup>, whereas the construction of the logical topology graph is NP-hard in the general case (*i.e.*, without the assumptions made in this section).

The design of a logical topology is not complete without assigning master/slave/bridge roles to the nodes. Since a minimum weighted spanning tree is a bipartite graph, and all nodes have degree less than or equal to 6, any one partition can be selected as the master set, and the other partition as the slave set. A piconet consists of a master and the nodes which have a link with the master in the spanning tree. Any node in the slave set with degree more than one is a bridge. If a bridge node has links with nodes  $n_1, \dots, n_p$  then it serves as a bridge between the piconets whose masters are  $n_1, \dots, n_p$ . As mentioned before, a node should not serve as a bridge in a large number of piconets, *i.e.*, the degree of the bridge nodes should be minimized as far as possible. The slave set can then be chosen with this objective. More formally, let the degree of a partition be the maximum degree of any vertex in the partition. The partition with the smallest degree can be chosen as the slave set.

We now present a number of experimental evaluations that were carried out to explore the characteristics of the topologies created by the MST algorithm, as well as a couple of other simple algorithms. The motivation for those experiments and for investigating additional algorithms besides the MST algorithm, was to examine the actual degrees of nodes in the generated topology. This is all the more important, as while the MST algorithm guarantees that the resulting topology meets the degree constraint on a master, it does not explicitly take into account the need to keep the degree  $k$  of bridge nodes as small as possible. The experiments are directed towards determining whether the degree guarantee is conservative, *i.e.*, all nodes typically have a much smaller degree than 6, or whether bridges end-up belonging to multiple piconets in

<sup>6</sup>A graph is complete if it has edges between any pair of vertices.

<sup>7</sup>The time complexity of a distributed MST algorithm is  $O(V \log V)$  and the communication cost is  $O(V \log V + E)$  messages [6].

most cases. We investigate topologies generated by three different algorithms:

- 1) The MST algorithm, which gives guarantees on the maximum degree.
- 2) A depth first search (DFS) based spanning tree topology construction algorithm.
- 3) A breadth first search (BFS) based spanning tree topology construction algorithm.

The DFS(BFS) based algorithms construct DFS(BFS) spanning trees, and subsequently the maximum degree partition is chosen as the master set. Both algorithms cater to distributed and dynamic operations. However, neither provides any analytical guarantee on the maximum degree of a node.

In order to evaluate the performance of these algorithms, we consider networks of two types in our experiments. The first type consists of nodes uniformly distributed in a square of size 1 unit. The second type consists of a "clustered topology" of three separate clusters of nodes. The positions of the centers of the clusters are selected randomly in the square of size 1. A node may belong to one of the three clusters, or it may not belong to any cluster at all. These 4 events are taken to be equiprobable. If a node belongs to a cluster then it is uniformly distributed in the cluster square (of side 0.4) around the cluster center, else its position is uniformly distributed in the original square of size 1 unit. For each of these two types of networks, we evaluate the performance of the algorithms with different number of nodes (25, 50, 100) and two different transmission radii (0.4 and 0.6 units). The results have been tabulated in Table II (uniform distribution) and Table III (clustered distribution). The symbols used in all tables in this paper have been explained in Table I.

N	Number of Nodes
d	transmission radius of each node
M	Maximum degree of the masters
$B_a$	Average degree of the bridges
$B_m$	Maximum degree of the bridges

TABLE I

LEGEND FOR SUBSEQUENT EXPERIMENTAL RESULTS

The MST algorithm generates topologies where the masters and the bridges have low maximum degrees. We observe that the maximum degree of bridges is between 2 and 3, which is substantially lower than the analytical guarantee of 6 for all nodes. As expected, the maximum degree of both masters and bridges are high in topologies generated by BFS. This is because the basic construction step of the algorithm is geared towards generating "bushy" trees, which clearly contributes to generating

		d=0.4								
N		25			50			100		
		$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$
BFS		7	2.6	6	21	4.8	7	36	7.3	20
MST		3	2	3	3	2.3	4	4	2.23	0.4
DFS		3	2	2	3	2	2	3	2	3

		d=0.6								
N		25			50			100		
		$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$
BFS		21	2.5	6	47	5.6	7	67	7.4	9
MST		3	2.4	3	3	2.3	3	3	2.3	3
DFS		3	2	2	2	2	2	3	2	2

TABLE II

NODE DEGREES OF BFS, MST AND DFS FOR THE 2-D CASE WITH UNIFORM NODE DISTRIBUTION AND ONE POWER LEVEL

		d=0.4								
N		25			50			100		
		$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$
BFS		17	4	8	32	5.6	6	44	8.3	18
MST		3	2.2	3	3	2.3	3	3	2.2	3
DFS		3	2	2	3	2.2	3	2	2	2

		d=0.6								
N		25			50			100		
		$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$
BFS		21	2.5	6	47	5.6	7	67	7.4	9
MST		3	2.4	3	3	2.3	3	3	2.3	3
DFS		3	2	2	2	2	2	3	2	2

TABLE III

NODE DEGREES OF BFS, MST AND DFS FOR THE 2-D CASE WITH CLUSTERED NODE DISTRIBUTION AND ONE POWER LEVEL

trees of very high degree. Thus the BFS based algorithm can not be used "as is." The DFS algorithm in contrast has a basic construction step that keeps node degrees minimal for as long as possible, and we therefore expected its performance to be much better. Indeed, it actually attains slightly lower degrees than MST for both masters and slaves, even though it does not provide any analytical guarantee. Thus, although one can easily construct examples in which DFS fails to provide a low degree spanning tree, we expect that in most practical scenarios, the degree of the tree produced by DFS will have lower degree than that of an MST algorithm. Another advantage of using the DFS algorithm is that there is no need to perform any power control in order to calculate (or rank) the Eu-

clidean distances between the communicating nodes. We also note that the degree in the case of BFS depend heavily on the number of nodes and on the transmission radius. On the contrary, the degrees obtained by the MST algorithm and by DFS appear to be robust to the choice of these parameters.

If all nodes have low degrees, then the end to end path between certain nodes may be long, and this may not be desirable from delay considerations. Thus one may wish to have somewhat larger piconets (desired piconet size can be a design parameter). This calls for algorithms which can tune the degree of masters to a certain desired value, and degree of bridges to a different, and possibly lower value. None of the algorithms studied in this section allow us to distinguish between masters and slaves and selectively decrease the degrees of the bridges further, once the universal degree constraint of 7 is satisfied. In the next two sections, we propose algorithms which can accommodate such a discriminatory treatment, and more importantly, are capable of generating connected topologies in cases where the assumptions of this section do not hold, *i.e.*, higher dimensionality and relaxed power levels assumptions.

## VII. TOPOLOGY FORMATION ALGORITHMS FOR NETWORKS WITH NODES IN 3-DIMENSIONAL SPACE

In this section, we consider networks with nodes located in a 3-dimensional space. We still assume that every node has the same communication range. In effect, we relax the 2-dimensional assumption made in the previous sections.

We focus on designing a topology where a node does not have degree more than 7 (*i.e.*, a master can not have more than 7 slaves and a bridge participates in at most 7 piconets). Robins *et. al.*[17] showed that the degree of a minimum weighted spanning tree can be as large as 13. Thus unlike the 2-dimensional case a MST based algorithm is not guaranteed to satisfy the degree constraint of the masters. The problem needs to be investigated in the framework of a minimum degree spanning tree, but as discussed in Section V this is an instance of an NP-complete problem. We will investigate heuristics and approximation algorithms for this purpose.

We first investigate the performance of a minimum weighted spanning tree in this case. As before, we consider the physical topology graph. The edge weights are Euclidean distances between the corresponding nodes. Nodes construct a minimum weighted spanning tree with the knowledge of a relative ordering of the weights of the links originating from the nodes. The maximum degree partition is chosen as the master set. We experimentally investigate the degrees of the masters and the bridges for

this algorithm. In addition we also study the performance of DFS and BFS based spanning trees in this regard.

The network in the 3-dimensional scenario is constructed as follows: we first distribute the nodes on a plane exactly as described in the 2-dimensional case. Then we assign a z-coordinate to each node, which is uniformly distributed between 0 and 0.3 units. This leads to two distinct cases: nodes uniformly distributed in 3-dimensional cuboids (uniform topology) and nodes distributed in 3-dimensional clusters (clustered topology). The results have been tabulated in Table IV (uniform distribution) and Table V (clustered distribution).

d=0.4									
N	25			50			100		
	M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>
BFS	5	2.8	8	11	4.3	13	27	6.3	17
MST	3	2.3	3	4	2.2	5	4	2.5	3
DFS	3	2.1	3	3	2.1	3	3	2	2

d=0.6									
N	25			50			100		
	M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>
BFS	17	3.3	4	30	7.3	8	85	5.6	27
MST	3	2.3	3	4	2.5	3	5	2.2	4
DFS	3	2	2	3	2.1	3	3	2	2

TABLE IV

NODE DEGREES OF BFS, MST AND DFS FOR THE 3-D CASE WITH UNIFORM NODE DISTRIBUTION AND ONE POWER LEVEL

d=0.4									
N	25			50			100		
	M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>
BFS	16	3	7	20	4.6	7	42	8.5	10
MST	4	2.1	4	3	2.4	3	4	2.5	4
DFS	3	2	2	4	2.1	3	3	2	2

d=0.6									
N	25			50			100		
	M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>
BFS	24	3.9	4	38	3.4	5	66	5.1	9
MST	4	2.1	3	4	2.4	3	4	2.4	4
DFS	3	2	2	2	2	2	2	2	2

TABLE V

NODE DEGREES OF BFS, MST AND DFS FOR THE 3-D CASE WITH CLUSTERED NODE DISTRIBUTION AND ONE POWER LEVEL

The interesting thing to note is that although one could expect that the algorithms would result in spanning trees



of much larger degree than they did in the 2-dimensional scenario, this is not the case. Although neither DFS nor the MST algorithm provide reasonable analytical guarantees on the maximum degree of the resulting spanning tree, in both cases the degree is much less than 7 (the Bluetooth degree constraint). BFS produces topologies with higher degrees. We also note that as in the 2-dimensional case, the degrees in the case of BFS depend heavily on the number of nodes and on the transmission radius, while the degrees obtained by the MST and DFS algorithms remain similar for different numbers of nodes.

None of the algorithms presented so far gives any analytical guarantee on the degrees of the nodes in the 3-dimensional case. In addition, none of these has the potential of controlling the degrees of the masters and the bridges differentially (even for the 2-dimensional case). As discussed before, this will be essential if we are not satisfied with “any” connected topology which satisfies the degree constraints, but need to impose other performance considerations like delay *etc.* Now we present a topology design procedure based on an approximation algorithm which is guaranteed to generate a spanning tree with degree at most one more than the minimum possible value in any arbitrary graph[11], and which provides a “knob” to tune the degrees of masters and bridges differentially. We explain the analytical guarantees in this case more formally. Let the degree of the spanning tree generated by this algorithm be  $d$ . Then any other spanning tree will have a maximum degree of  $d-1$  or more. In the Bluetooth context, this means that if  $d \geq 9$  then any connected logical topology will have at least one master with more than 7 slaves or one bridge participating in more than 7 piconets which violates the degree constraints, and as such connectivity is not feasible. If  $d \leq 7$ , then connectivity is feasible, and the spanning tree generated by this algorithm is a valid logical topology. If  $d = 8$ , then connectivity may or may not be feasible, and any connected logical topology will have at least one piconet with 7 slaves. Thus the “gray area” where this algorithm may fail, and yet connectivity be feasible is for only one value of  $d$ ,  $d = 8$ . We denote this algorithm as the “MDST” algorithm. We present an example illustrating MDST in Figure 3. We describe it next, assuming that the physical topology graph is connected. Otherwise, connectivity is not feasible and the algorithm operates on each component of the physical topology graph. The basic approach[11] is to start with any spanning tree, and replace edges from vertices of high degree with those from vertices of low degree.

- 1) Find any spanning tree  $T$  of the physical topology graph.
- 2) Determine the maximum degree  $d$  of spanning tree  $T$ .
- 3) Mark all vertices of degree  $d$  and  $d - 1$  as “bad.”

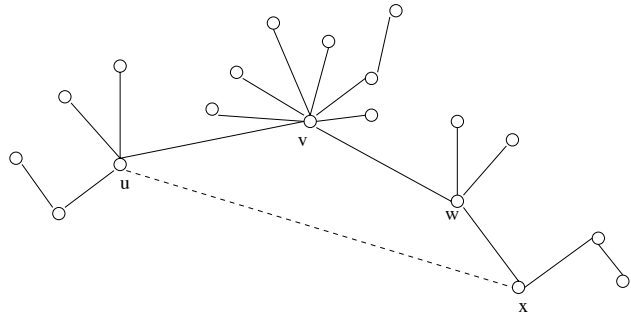


Fig. 3. We explain the operation of the MDST algorithm in this figure. Let MDST algorithm start with the spanning tree shown in the figure. Node  $v$  has degree 8 while all other nodes have degree less than 5. So, node  $v$  is marked as ‘bad’, and all other nodes are marked as ‘good’ (since their degree is less than  $d - 1 = 8 - 1 = 7$ ). Now the algorithm considers the cycle generated when edge  $(u, x)$  is added to the tree. The degree of node  $v$  can now be reduced by including edge  $(u, x)$  in the tree and deleting one of the edges  $(u, v)$  or  $(v, w)$ .

Consider a “forest”  $F = T \setminus \{ \text{bad vertices} \}$  (a forest is a collection of disconnected trees). The vertices in  $F$  are marked “good.”

- 4) While there is an edge  $e$  connecting two different components of  $F$ ,
  - a) Consider the cycle  $C$  generated by spanning tree  $T$  together with  $e$ . If  $C$  has a vertex  $w$  of degree  $d$ , denote the edge in  $C$  incident on  $w$  as  $l$ , update  $T$  as follows:  $T \rightarrow T \setminus \{l\} \cup \{e\}$  and go to step (2).
  - b) Mark all “bad” vertices in  $C$  as good. Update  $F$  by combining the components along the cycle  $C$  and these newly marked vertices into a single component.
- 5) Output the final tree  $T$ .

MDST runs in polynomial time[11],  $O(VE \log V)$ <sup>8</sup> it “almost” identifies whether connectivity is feasible and generates a connected logical topology if connectivity is feasible in most of the cases. We use the word “almost” because as mentioned before if the MDST output tree has degree 8 then connectivity may be feasible, while the output tree violates the Bluetooth degree constraint on masters. Next, we experimentally study how often the output tree has degree 8, and we also consider the effect of degree constraints on the slaves. For this purpose we first examine the performance of MDST in this regard. Recalling, MDST chooses the spanning tree partition with lower degree as the slave/bridge set. We examine the degree of nodes in this set. We consider the uniform and the clustered topologies described before in this section. The results have been tabulated in Table VI (uniform distribu-

<sup>8</sup>More precisely, the run time is  $O(VE \alpha(V, E) \log V)$ , where  $\alpha$  is the inverse of Ackermann’s function, and grows slowly. For all practical purposes,  $\alpha(V, E)$  can be treated as a constant.

tion) and Table VII (clustered distribution). The results show that all nodes have degrees between 2 and 3 (closer to 2 than 3).

Now we discuss how one can extend MDST to control the degrees of the masters and bridges differentially. Observe that the MDST algorithm reduces the maximum degree of the nodes as much as possible. However, the objective is somewhat different now. *The goal is to first satisfy a degree constraint of say  $p$  for all vertices (where  $p$  is the desired maximum number of slaves in a piconet), and subsequently preferentially reduce the maximum degree of the bridges to the desired value ( $k$ ).* Reducing the degree of all nodes uniformly need not attain this. MDST decreases the degrees of all vertices uniformly, and both masters and bridges have degrees close to 2 in the resulting topology.

We proceed as follows: MDST starts with the spanning tree generated by BFS, which generates spanning trees of large degrees. Note that the trees generated by DFS or MST can not be used as starting points as these provide low degrees (2 or 3) for both masters and slaves. MDST is allowed to terminate when the maximum degree is reduced to  $p$  (the desired piconet size). Imposition of the following terminating condition in step 2 will serve the purpose: If  $d \leq p$  terminate. We denote this minor modification of MDST as "M-MDST." Its performance is shown in Tables VI and VII.

Now we propose an extension of MDST, which we call E-MDST for reducing the degrees of the bridges selectively. This algorithm starts with the spanning tree generated by the M-MDST algorithm, and subsequently reduces the degrees of the bridges without increasing that of the masters beyond the degree constraint of  $p$ . The basic difference between MDST and E-MDST is that in E-MDST the degree considerations used for marking slave nodes "bad" are different from those for marking master nodes "bad," and the edge replacement is used to decrease the degrees of bridges only, once the overall degree constraint of  $p$  is satisfied by the M-MDST algorithm. The pseudocode for E-MDST is shown below:

- 1) Start with the output of the M-MDST algorithm,  $T$ . The partition with a larger maximum degree is the master set and the other partition is the slave/bridge set. Consider the physical topology graph with edges between the master and slave sets only (*i.e.*, eliminate all master to master and slave to slave links).
- 2) Determine the maximum degree  $d_s$  of nodes in the slave set of spanning tree  $T$ . If  $d_s \leq k$  terminate.
- 3) Mark all master nodes of degree 7 and slave nodes of degree  $d_s$  and  $d_s - 1$  as "bad." Consider a "forest"  $F = T \setminus \{\text{bad vertices}\}$ . The vertices in  $F$  are marked "good."

		d=0.4								
N		25			50			100		
		M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>
MDST		3	2.1	3	3	2.1	3	3	2	2
M-MDST		7	3.4	7	7	3.8	7	7	2.9	6.8
E-MDST(7,3)		7	2.6	4	7	2.9	4	7	2.4	3
E-MDST(5,3)		5	2.4	3	5	2.5	3	5	2.5	3

		d=0.6								
N		25			50			100		
		M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>
MDST		2	2	2	2	2	2	2	2	2
M-MDST		7	4.5	7	7	2.7	7	7	2.4	7
E-MDST(7,3)		7	2.5	4	7	2.5	3	7	2.8	4
E-MDST(5,3)		5	2.5	3	5	2.1	3	5	2.3	3

TABLE VI  
NODE DEGREES OF MDST, M-MDST AND E-MDST FOR THE  
3-D CASE WITH UNIFORM NODE DISTRIBUTION AND ONE  
POWER LEVEL

- 4) While there is an edge  $e$  connecting two different components of  $F$ ,
  - a) Consider the cycle  $C$  generated by spanning tree  $T$  together with  $e$ . If  $C$  has a slave node  $w$  of degree  $d_s$ , denote the edge in  $C$  incident on  $w$  as  $l$ , update  $T$  as follows:  $T \rightarrow T \setminus \{l\} \cup \{e\}$ , and go to step (2).
  - b) Mark all "bad" vertices in  $C$  as good. Update  $F$  by combining the components along the cycle  $C$  and these newly marked vertices into a single component.
- 5) Output the final tree  $T$ .

We investigate the performance of E-MDST experimentally in order to judge its efficiency in fine tuning the topology. The experimental evaluation is necessary as there is no analytical guarantee that the desired master and bridge degrees will indeed be attained. We consider the uniform and the clustered topologies described before in this section. We first set  $p = 7, k = 3$  and next consider  $p = 5, k = 3$ . The results have been tabulated in Table VI (uniform distribution) and Table VII (clustered distribution). The results for  $p = 7$  and  $k = 3$  show that the maximum degree of masters is exactly 7. The maximum degrees of bridges is between 3 and 4, and the average degrees of bridges are between 2 and 3. The results for  $p = 5$  and  $k = 3$  show that the maximum degree of masters is exactly 5. The maximum degrees of bridges is exactly 3, and the average degree of bridges is between 2 and 3. We conclude that the algorithm is approximating its objective fairly well.

N	d=0.4								
	25			50			100		
	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$
MDST	3	2.1	3	3	2	2	3	2	2
M-MDST	7	2.8	6.7	7	3.4	7	7	2.8	7
E-MDST(7,3)	7	2.3	3	7	2.4	3	7	2.6	3
E-MDST(5,3)	5	2.3	3	5	2.4	3	5	2.5	3

N	d=0.6								
	25			50			100		
	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$
MDST	2	2	2	2	2	2	2	2	2
M-MDST	7	4	7	7	5.6	7	7	6.1	7
E-MDST(7,3)	7	3	3	7	2.9	3	7	3	4
E-MDST(5,3)	5	2.6	3	5	2.5	3	5	2.5	3

TABLE VII

NODE DEGREES OF MDST, M-MDST AND E-MDST FOR THE 3-D CASE WITH CLUSTERED NODE DISTRIBUTION AND ONE POWER LEVEL

The operation of both MDST and E-MDST indicates that the computations essentially rely on local information, and as such we envision a relatively simple extension for operation in a distributed scenario. However, the exact message exchange scenario need to be designed for this purpose. Also, examining the efficiency of the algorithm in a dynamic scenario, where topology changes continuously remains an interesting topic for future investigation.

### VIII. TOPOLOGY FORMATION ALGORITHMS FOR NETWORKS WITH NODES WITH MULTIPLE POWER LEVELS

Now we assume that different nodes have different transmission/reception ranges. As specified by the Bluetooth specification, devices are classified in three power classes. These correspond to maximum output powers of 100mW, 2.5mW and 1mW, and translate to transmission ranges of approximately 100m, 10m and 10cm respectively. We do not expect that power class 3 (*i.e.* 1mW or 10cm range) will prove to be particularly useful in practical applications. Therefore we will focus on the problem of having two different transmission ranges, namely 10 meters and 100 meters.

First consider the simple case where the nodes are points in 2-dimensional plane. We furnish a counter-example in Figure 4 to show that nodes in a minimum weighted tree in the physical topology graph, where the weight of an edge is the Euclidean distance between the corresponding nodes can have degree 8 or more. Thus a MST-based logical topology design algorithm need not

satisfy the degree constraints on the master. As in Section VII, we need to revert to the minimum degree spanning tree framework, which is an NP-complete problem. Thus, we examine the performance of heuristics and approximation algorithms in this case. The network topology is generated in the same way as in the previous parts, with the following difference. Now a node is assigned a transmission radius of  $d$  with probability 0.5 and a transmission radius of  $10d$  with probability 0.5. We investigate for two different values of  $d$ .

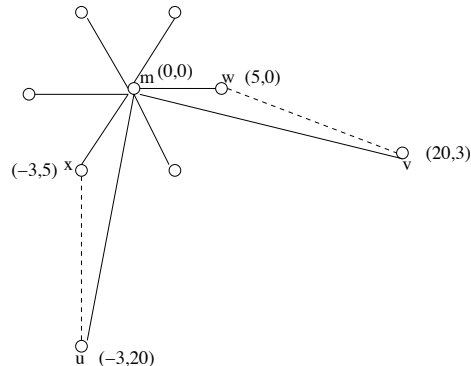


Fig. 4. We provide a counter example to establish that a MST in a physical topology graph can have degree more than 6 if nodes have two different power levels. The figure shows certain nodes in a two dimensional plane and indicates their coordinates. Suppose the transmission range of nodes  $m$ ,  $v$  and  $u$  is 100 meters, while that of all other nodes is 10 meters. Thus edges  $(w, v)$  and  $(x, u)$  are not included in the physical topology graph since the vertices  $v, w$  ( $u, x$ ) have a distance greater than 10 meters. Similarly nodes  $u$  and  $v$  have a distance of more than 100 meters and thus edge  $(u, v)$  is not in the physical topology graph. The figure (solid lines) shows the MST in this case. Node  $m$  has a degree 8.

We examine the performance of MST, DFS based spanning tree, BFS based spanning tree, MDST and E-MDST. Incidentally, the analytical guarantees described for MDST in the previous section, hold for arbitrary graphs, and as such apply in this case as well. Thus, the maximum degree of the output spanning tree of MDST is at most one more than the minimum possible value. Hence, MDST satisfies the master degree constraints most of the times (provided connectivity is feasible). The results have been tabulated in Tables VIII and IX. The tables demonstrate that MST, DFS and MDST satisfy the degree constraint of the masters. In fact the degrees of masters are well below 7 for all three algorithms. Bridges have degrees between 2 and 3 in all three algorithms. Degrees of nodes are somewhat less for DFS and MDST as compared to MST.

E-MDST is directed towards attaining topologies where the maximum degree of masters is 7 ( $p = 7$ ) and the maximum degree of bridges is 3 ( $k = 3$ ). Tables VIII and IX show that the degree of the masters is, as desired, exactly 7, while bridges have degrees between 2 and 3. Consid-

		d=0.4								
N	25			50			100			
	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	
BFS	20	3	6	18	7.7	10	68	6.2	21	
MST	3	2.3	3	3	2.1	3	4	2.3	3	
DFS	3	2	2	3	2	3	3	2	2	
MDST	2	2	2	2	2	2	2	2	2	
E-MDST	7	2.7	3	7	2.4	3	7	2.7	3	

		d=0.6								
N	25			50			100			
	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	
BFS	16	2	5	32	6.7	20	72	4.5	13	
MST	4	2.1	3	3	2.1	3	3	2.3	3	
DFS	3	2	2	3	2	2	2	2	2	
MDST	3	2	2	2	2	2	2	2	2	
E-MDST	7	2.8	3	7	3	4	7	2.4	3	

TABLE VIII

NODE DEGREES OF BFS, MST, DFS MDST AND E-MDST FOR THE 2-D CASE WITH UNIFORM NODE DISTRIBUTION AND TWO POWER LEVELS

ering that the algorithm has a two-fold objective (reducing the degree of the bridges and fine-tuning the degrees of masters and bridges around specified numbers) it performs reasonably well. The maximum desired degree of bridges was set to 3 and it was well approximated by E-MDST. Also, the maximum degree of the masters was set to 7, a constraint that was easily satisfied.

Now, we consider the more general case where nodes are points in 3-dimensional space. Clearly determining connectivity and designing a logical topology is a harder problem in this case, and subsequently the previous complexity result applies. We study the performance of all the previously mentioned algorithms below. The results have been tabulated in Table X (uniform distribution) and Table XI (clustered distribution).

The performance of the algorithms in this more general 2-power-level 3-dimensional scenario is similar to that of previous cases. Again, DFS and MST succeed in reducing the degrees of both masters and slaves to values between 2 and 4 (with DFS attaining slightly lower degrees), while MDST achieves even lower degrees (equal to 2 in most cases). In this case the objective of the E-MDST was to attain topologies where the maximum degree of masters is 7 ( $p = 7$ ) and the maximum degree of bridges is 3 ( $k = 3$ ). The results show that this objective is mostly attained, with one exception where the maximum degree of the bridges is 4.

		d=0.4								
N	25			50			100			
	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	
BFS	19	3.6	5	33	3.8	12	52	8.8	19	
MST	3	2.4	3	3	2.4	3	3	2.3	3	
DFS	3	2	2	3	2	2	2	2	2	
MDST	3	2	2	3	2	2	2	2	2	
E-MDST	7	2.4	3	7	2.8	3	7	2.2	3	

		d=0.6								
N	25			50			100			
	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	
BFS	10	4.7	12	40	10	18	82	4.4	8	
MST	3	2.4	3	3	2.3	3	4	2.3	3	
DFS	2	2	2	2	2	2	3	2	2	
MDST	2	2	2	2	2	2	3	2	2	
E-MDST	7	2.6	3	7	2.3	3	7	2.5	3	

TABLE IX

NODE DEGREES OF BFS, MST, DFS MDST AND E-MDST FOR THE 2-D CASE WITH CLUSTERED NODE DISTRIBUTION AND TWO POWER LEVELS

		d=0.4								
N	25			50			100			
	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	
BFS	16	4	5	30	6.1	7	67	5.8	11	
MST	4	2.3	3	4	2.4	3	4	2.6	3	
DFS	3	2	2	2	2	2	3	2	2	
MDST	3	2	2	2	2	2	2	2	2	
E-MDST	7	2.6	3	7	2.5	3	7	2.9	4	

		d=0.6								
N	25			50			100			
	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	$M$	$B_a$	$B_m$	
BFS	18	4	6	26	10	12	63	7.1	16	
MST	4	2	3	4	2.2	3	4	2.5	4	
DFS	3	2	2	3	2	2	2	2	2	
MDST	2	2	2	2	2	2	2	2	2	
E-MDST	7	2.8	3	7	2.9	4	7	2.7	3	

TABLE X

NODE DEGREES OF BFS, MST, DFS MDST AND E-MDST FOR THE 3-D CASE WITH UNIFORM NODE DISTRIBUTION AND TWO POWER LEVELS

		d=0.4								
N		25			50			100		
		M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>
BFS		10	4	5	42	4.5	8	50	6.9	15
MST		3	2.3	3	4	2.3	3	4	2.4	4
DFS		3	2	2	3	2	2	3	2	2
MDST		3	2	2	2	2	2	2	2	2
E-MDST		7	2.5	3	7	2.5	3	7	2.9	4

		d=0.6								
N		25			50			100		
		M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>	M	B <sub>a</sub>	B <sub>m</sub>
BFS		22	2	5	29	6	12	50	9.9	21
MST		3	2.5	3	4	2.4	3	4	2.2	4
DFS		3	2	2	3	2	2	2	2	2
MDST		2	2	2	2	2	2	2	2	2
E-MDST		7	2.8	3	7	2.4	3	7	2.9	4

TABLE XI

NODE DEGREES OF BFS, MST, DFS MDST AND E-MDST FOR THE 3-D CASE WITH CLUSTERED NODE DISTRIBUTION AND TWO POWER LEVELS

## IX. A FULLY DISTRIBUTED AND DYNAMIC ALGORITHM

In this section, we extend the previous approaches to a distributed and dynamic setting.

A minimum weighted spanning tree can be constructed by distributed computation at the nodes, *e.g.*, Prim's algorithm [4] for constructing minimum weight spanning trees can be distributed [6]. A node only needs to know an ordering of the weights of its incident edges. In the Bluetooth setting, a node acquires this knowledge while synchronizing with its neighbors. During this time, a node can measure the signal strength of the synchronization messages sent by its neighbors. If all nodes transmit these messages at the same power level, the signal will be stronger for a neighbor which is closer.

The same observation holds for addressing a dynamic scenario. For example, new nodes may join and existing nodes may leave the system. Nodes may be continuously on the move, and thus the neighbor set and the Euclidean distances between neighbors change. Thus the spanning tree needs to be updated in response to these topology alterations. There are efficient algorithms for dynamic update of spanning trees [3], [19].

However, the complexity of a distributed and dynamic version of the MST algorithm can be fairly high. In the distributed implementation, nodes are initially singletons, and they gradually merge to form fragments which again merge so as to yield a MST. The merger can be through

inclusion of *certain* edges in a given sequence, so as to avoid cyclicity, and preserve the MST property. As a result, nodes need to maintain and broadcast a fragment ID, and information on their outgoing edges, in order to enable a distributed decision of which edges to select (see [3], [6] for additional implementation details). This motivates the consideration of simpler distributed algorithms that rely on heuristics. In other words, we investigate solutions that may not be guaranteed to generate a MST, but may offer a favorable trade-off between performance and complexity.

The algorithm we investigate is based on the following local information based heuristic for selecting edges: Start with an empty logical topology. Consider two nodes A and B and the edge AB joining them. Draw a circle with A as its center and radius AB. Also, draw another circle with B as its center and radius BA. If there is no other node in the intersection of the two circles, then add the edge AB. If some node C lies in the intersection of the circles, then edge AB is not added (see figure 5).

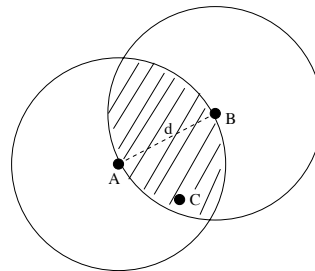


Fig. 5. Edge AB will be added to the graph only if no other node lies in the shaded area. In this case, since node C lies in the intersection of the 2 circles, edge AB will not be added to the graph.

Note that a node C is in the intersection of the two circles if and only if  $AB \geq \max\{AC, BC\}$ . In other words, a node C lies in the intersection only when its Euclidean distances to both A and B are smaller than AB. This can be determined by power measurements and subsequent information interchange. If such a node C is discovered after the edge AB has been added to the graph, then this edge can be dropped. Observe that this doesn't affect any other edge additions or deletions in the rest of the graph. Therefore, the decision of whether to add an edge or not is based solely on local information. Hence, there is no need to broadcast any information throughout the graph and there is no need to maintain edge or node states. This clearly reduces the number of exchanged messages as well as the complexity of this algorithm when compared to the distributed MST algorithm. This algorithm tries to approximate the MST, and in that context it is worth noting that the resulting graph will be a superset of the MST.

*Lemma 3:* The graph generated by the above mentioned heuristic generates a topology that is a superset

of the Minimum Weight Spanning Tree (*i.e.* the topology generated by the MST algorithm).

**Proof of Lemma 3:** See Appendix.

Since the application of the above mentioned heuristic will result in inclusion of more edges than in the MST, the resulting graph will not necessarily have a degree of less than 7. But as we will see later on, it only contains a few more edges than the MST, and as a result, a node will usually not have a degree exceeding 5. Note also that since the resulting graph need not be bipartite, this algorithm might also lead to nodes that have to assume both the role of a master (of their piconet) and of a slave (of some other piconet), which may not be desirable, though not precluded by the standards.

The overall algorithm works as follows: First the two nodes decide (based on the above mentioned heuristic and on their degrees<sup>9</sup>) whether to form a connection or not. Then, they have to decide on their roles as masters and/or slaves, according to the following rules (similar to [8]):

- 1) If both nodes are unassigned, then the one with the highest ID becomes the master, and the other becomes the slave.
- 2) If both nodes are masters, then the node with the lowest ID becomes the slave of the node with the highest ID (*i.e.* the node with the lowest ID is now both a master and a slave).
- 3) If both nodes are slaves, then the node with the highest ID becomes the master of the node with the smallest ID (*i.e.* the node with the highest ID is now both a master and a slave).
- 4) If both nodes are both masters and slaves (noted as M/S nodes) then the node with the lowest ID joins the other node's piconet as a slave.
- 5) Finally, if one node is a master and the other is a slave, then the slave simply joins the master's piconet.

We use three different metrics to evaluate the performance of this algorithm via simulation. The first one is the number of edges in the resulting graph (which is a measure of how close it is to a MST<sup>10</sup>). The second measure is the average degree of the nodes and the third measure is the number of nodes that assume a dual role (*i.e.* nodes that are both masters and slaves). In all subsequent simulations, the scaling factor was set to 0.25, meaning that the simulation area is 40m by 40m. The results for five different topologies are tabulated as shown below. In the tables, N stands for the number of Nodes, E for the number of edges,  $D_i$  for the number of nodes with degree equal to  $i$ , M/S for the number of dual role nodes and  $D_{M/S}$  for the

<sup>9</sup>A link is not added if one of the incident nodes has a degree of 7.

<sup>10</sup>Recall that for an arbitrary connected graph of N nodes, the MST contains exactly N-1 edges.

average degree of dual role nodes.

Topology	Num. of clusters	Cluster prob.	Cluster radius(m)	Table
Uniform	0	0	[0 0 0]	XII
Clustered 1	3	0.75	[8 8 8]	XIII
Clustered 2	3	0.75	[4 6 8]	XIV
Clustered 3	3	0.25	[8 8 8]	XV
Clustered 4	3	0.25	[4 6 8]	XVI

N	E	$D_1$	$D_2$	$D_3$	$D_4$	$D_5$	M/S	$D_{M/S}$
100	118.5	8.2	49.7	38.9	3.2	0.004	17.3	2.7
500	619.3	23.9	233.5	222.7	19.9	0.03	94.7	2.7
1000	1250.2	39.8	461.1	458.0	41.0	0.06	193.6	2.7

TABLE XII  
UNIFORM TOPOLOGY

N	E	$D_1$	$D_2$	$D_3$	$D_4$	$D_5$	M/S	$D_{M/S}$
100	114.9	10.8	50.1	36.3	2.5	0.003	16.0	2.6
500	613.8	26.1	238.7	216.8	18.4	0.02	93.3	2.7
1000	1242.5	43.3	467.7	449.6	39.3	0.07	190.8	2.7

TABLE XIII  
CLUSTERED TOPOLOGY 1

N	E	$D_1$	$D_2$	$D_3$	$D_4$	$D_5$	M/S	$D_{M/S}$
100	113.8	11.8	50.8	34.7	2.6	0.007	15.6	2.6
500	610.4	27.6	241.6	212.9	17.7	0.2	91.8	2.7
1000	1237.7	45.8	471.6	443.9	38.5	0.05	189.1	2.7

TABLE XIV  
CLUSTERED TOPOLOGY 2

N	E	$D_1$	$D_2$	$D_3$	$D_4$	$D_5$	M/S	$D_{M/S}$
100	118.1	8.8	49.6	38.4	3.2	0.001	16.9	2.7
500	618.3	24.1	234.6	221.9	19.3	0.03	94.8	2.7
1000	1249.1	40.4	461.8	457.2	40.6	0.05	193.1	2.7

TABLE XV  
CLUSTERED TOPOLOGY 3

From the simulation results we conclude that as far as the degree constraint is concerned, the algorithm performs very well. Approximately 4% of the nodes have degree equal to 4, less than 0.01% have degree equal to 5 and no nodes have degree of more than 5. As for the number of

N	E	$D_1$	$D_2$	$D_3$	$D_4$	$D_5$	M/S	$D_{M/S}$
100	117.3	9.1	50.2	37.8	2.9	0.002	16.9	2.6
500	616.4	24.8	236.5	219.7	18.9	0.02	93.7	2.7
1000	1246.9	41.2	464.1	454.4	40.2	0.05	192.2	2.7

TABLE XVI  
CLUSTERED TOPOLOGY 4

edges, for graphs of 100 nodes, there are about 16% more edges than in the MST, and for graphs of 500 and 1000 nodes we see that the number of additional edges saturates at about 25% more edges than in the MST. Finally, for graphs of 100 nodes about 17% of those nodes have a dual role (master and slave) and this number seems to saturate at about 19% for graphs of 500 and 1000 nodes. It is worth noting that the degree of those 'dual role' nodes is about 2.7, meaning that they are masters of one piconet and slaves in either one or two more piconets. Cases where they are slaves in more than two piconets are fairly rare.

Given the results mentioned above, the simplicity of this algorithm makes it appealing for practical implementations. It remains to evaluate the tradeoff between a simpler topology formation algorithm and the performance (throughput, delay, *etc.*) of the resulting topology. Specifically, we plan to investigate the impact on performance of having nodes with a dual role in a Bluetooth setting. The performance of the resulting topology will then be compared to that of a topology generated by the more complex MST-based algorithms.

## X. CONCLUSION

To summarize, this paper has presented a number of algorithmic results aimed at the problem of topology formation in Bluetooth networks. We have shown that the MST algorithm is the only one that is guaranteed to automatically satisfy all degree constraints in the 2-D scenario with one power level. However, as simulations have shown, even though no analytical guarantees are available, in practice the degree constraint is also easily met by the DFS algorithm in the 2 -  $D$ , 3 -  $D$  and the two power level scenarios. From a simple connectivity standpoint DFS satisfies the degree constraints for both masters and bridges. In addition, it has certain benefits not provided by other algorithms. It does not use the Euclidean distances and therefore does not require any power control. DFS running time is also smaller than that of MST or MDST, and finally it is very easy to implement. However, from a delay/throughput point of view it need not always be the case that minimal degrees for both masters and slaves is desirable. This motivated the introduction of the E-MDST

algorithm, which provided us with the means for tuning the degrees of both masters and bridges, and therefore controlling the structure of the resulting network. Given the likely difficulty of constructing distributed versions of the above algorithms, we also investigated a heuristic-based distributed algorithm that performs very well as far as the constraints of the Bluetooth technology are concerned. In future work, we plan to further explore the trade-off offered by the different algorithms studied in this paper. This will be carried out using a detailed simulation of the Bluetooth stack, which will allow us to precisely emulate the operation of various algorithms in an operational Bluetooth environment.

## APPENDIX

**Proof of Lemma 1:** Clearly a necessary condition for connectivity to be feasible is that the physical topology graph is connected. We will show that this condition is sufficient as well. Assume that the physical connectivity graph is connected. Consider a new graph formed by adding edges between all pairs of nodes in the physical connectivity graph. This graph is referred to as the completion of the physical connectivity graph. The weights of the new edges equal the Euclidean distance between the nodes. The physical topology graph is a sub-graph of this completion graph consisting of all edges of the completion graph with weight less than  $d$ . Clearly this graph satisfies the conditions of Proposition 1 and thus the degree of any minimum spanning tree in this graph is less than or equal to 6 by Proposition 1. Any minimum weighted spanning tree in the physical topology graph is also a minimum weighted spanning tree in the completion graph. This follows from the following facts: (a) all edges in the completion graph with weight less than a certain real number  $d$  belong to the physical topology graph and (b) the physical topology graph is connected. Thus any minimum weighted spanning tree in the physical topology graph has degree less than or equal to 6. Thus such a minimum weighted spanning tree satisfies the desired degree constraints, and is a bipartite graph by virtue of being a tree. Hence, any minimum weighted spanning tree in the physical topology graph is a connected logical topology graph which satisfies all the desired constraints.

**Proof of Lemma 2:** Since a logical topology graph is a sub-graph of the physical topology graph, the former has at least as many components as the latter. Thus the logical topology graph has at least as many components as the sub-graph consisting of minimum weighted spanning trees in each component of the physical topology graph. It is thus sufficient to show that this sub-graph satisfies all the constraints of a logical topology graph. Now, consider each component of the physical topology graph separately. Since each component is connected, by Lemma 1

the minimum weighted spanning tree in the component satisfies all the constraints of a logical topology graph. It follows that a collection of such disjoint minimum spanning trees satisfy the constraints of a logical topology graph as well.

**Proof of Lemma 3:** For simplicity assume that there exists a unique MST.

Let  $AB$  belong to the MST, but not chosen in this approach. This means there exists a node  $C$  such that  $AC$  and  $BC$  are less than or equal to  $AB$ . Note that in the MST at least one of the paths,  $A$  to  $C$ , or  $B$  to  $C$  must use the link  $AB$  (else there is a cycle). Let  $B$  to  $C$  be the path that uses it, meaning that edge  $BC$  does not exist (else there is a cycle).

Thus add edge  $BC$  to the MST. The earlier path from  $C$  to  $B$  forms a cycle with edge  $BC$ , and this cycle contains edge  $AB$  (as  $AB$  lies on the path  $C$  to  $B$  path by assumption). Remove edge  $AB$  from the MST, to construct a spanning tree whose weight is not more than that of the earlier MST (since  $AB \geq BC$ ).

Note that the Euclidean or two dimensional plane assumption is not made here, so this lemma holds for any arbitrary graph.

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